

UNITED STATES OF AMERICA  
before the  
COMMODITY FUTURES TRADING COMMISSION

---

In the Matter of:

Whistleblower Award Claim of Claimant – Form WB-APP 2012-05-02-03

---

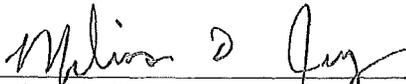
ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award claim of Claimant, submitted on Form WB-APP 2012-05-02-03.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: Sept. 9, 2013

  
Melissa D. Jurgens  
Secretary of the Commission  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581

UNITED STATES OF AMERICA  
before the  
COMMODITY FUTURES TRADING COMMISSION

---

In the Matter of:

Whistleblower Award Claim of Claimant - Form WB-APP 2012-05-02-03

---

**WHISTLEBLOWER AWARD DETERMINATION**

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (Commission) is the whistleblower award claim of Claimant (Claimant), filed on Form WB-APP 2012-05-02-03.

**IT IS HEREBY DETERMINED that:**

1. The claim is denied because the Claimant first provided information about the matter at issue to the Commission in October 2008. Sections 23(b)(1) and 23(k) of the Commodity Exchange Act [7 U.S.C. §§ 26(b)(1), 26(k)], and Rule 165.2(k)(4) of the Commission's Whistleblower Rules [17 C.F.R. § 165.2(k)(4)], require an individual to provide "original information" to the Commission for the first time after July 21, 2010.

2. The claim is also denied because the Claimant did not file a Form TCR as required by Rules 165.2(p) and 165.3 of the Commission's Whistleblower Rules [17 C.F.R. § 165.2(p), 165.3].

Dated: July 19, 2013

Whistleblower Award Determination Panel  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581